Routing Permutations on Trees

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June 19, 2000

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Abstract
We study the problem of routing permutations on trees. We show that this problem is NP-hard but that it is 5/3-approximable. For a linear network or for a star tree network, the problem is polynomial and we give its average complexity. We extend these results and obtain an upper bound for arbitrary trees. This talk is based on a joint work with Mario Valencia-Pabon, Daniele Gardy, Dominique Barth, and Alain Denise [4].

1. Introduction
The routing problem on communication networks consists in the efficient allocation of resources to connection requests. In the case of all-optical networks, data is transmitted on lightwaves through optical fiber, and several signals can be transmitted through a fiber link simultaneously provided that different wavelengths are used in order to prevent interferences [3]. As the number of wavelengths is a limited resource, it is desirable to establish a given set of connection requests with a minimum number of wavelengths. Then the routing problem for all-optical networks can be viewed as a path coloring problem: it consists in finding a desirable collection of paths on the network associated with the collection of connection requests in order to minimize the number of colors needed to color these paths in such a way that any two different paths sharing a same link are assigned different colors. For simple networks, such as trees, the routing problem is simpler, as there is a unique path for each communication request.

Clearly, such a routing problem can be modeled as a permutation-path coloring problem on trees. An instance of the permutation-path coloring problem on trees is given by a directed symmetric tree graph $T$ on $n$ nodes and a permutation $\sigma$ of the node set of $T$. Moreover, we associate with each pair $\langle i, \sigma(i) \rangle, \ i \neq \sigma(i), \ 1 \leq i \leq n$, the unique directed path on $T$ from node $i$ to node $\sigma(i)$. Thus, the permutation-path coloring problem for this instance consists in assigning the minimum number of colors to such a permutation-set of paths in such a way that any two paths sharing a same arc of the tree are assigned different colors.

2. Definitions
We model the tree network as a rooted labeled symmetric directed tree $T = (V, A)$ on $n$ vertices, where processors and switches are vertices and links are modeled by two arcs in opposite directions. Let $P$ be a collection of directed paths on $T$. We assume that the vertices of $T$ are arbitrarily labeled by different integers $\{1, 2, \ldots, n\}$ and that the vertex labeled $n$ is the root vertex of $T$. We denote $i \leadsto j$ the unique directed path from vertex $i$ to vertex $j$. The arc from vertex $i$ to its father (resp.
from the father of $i$ to $i$), $1 \leq i \leq n-1$, is labeled by $i^+$ (resp. $i^-$). We call $T(i)$ the subtree of $T$ rooted at vertex $i$, $1 \leq i \leq n$.

For any $i$, $1 \leq i \leq n-1$, the load of an arc $i^+$ (resp. $i^-$) of $T$, denoted by $L_T(P,i^+)$ (resp. $L_T(P,i^-)$), is the number of paths in $P$ using such an arc, and the maximum load among all arcs of $T$ is denoted by $L_T(P)$. We call the coloring number and we denote by $R_T(P)$, the minimum number of colors needed to color the paths in $P$ such that any two paths sharing a same arc in $T$ are assigned different colors. Trivially, we have that $R_T(P) \geq L_T(P)$.

We say that $P$ is a permutation-path set on $T$ if $P$ represents a permutation $\sigma \in S_n$ of the vertex set of $T$, where $\sigma(i) = j$, $i \neq j$, if and only if $i \sim j \in P$. In the sequel we talk indifferently of a permutation-path set $P$ or of the permutation $\sigma \in S_n$ that $P$ represents. Thus, given a permutation $\sigma \in S_n$ and a tree $T$ on $n$ vertices, the load of the arc $i^+$, resp. $i^-$, $1 \leq i \leq n-1$, can be expressed by $L_T(\sigma,i^+) = |\{ j \in T(i) \mid \sigma(j) \notin T(i) \}|$, resp. $L_T(\sigma,i^-) = |\{ j \notin T(i) \mid \sigma(j) \in T(i) \}|$.

Let $T$ be a tree on $n$ vertices. The average load of all permutations $\sigma \in S_n$ on $T$, denoted by $L_T$, is defined as $L_T = \frac{1}{n!} \sum_{\sigma \in S_n} L_T(\sigma)$.

**Proposition 1** ([7]). There is a polynomial time algorithm to color any collection $P$ of paths on any tree such that $L_T(P) \leq R_T(P) \leq \left(\frac{5}{3}\right)L_T(P)$.

Let $T$ be a tree on $n$ vertices. We denote by $\hat{R}_T$ the average number of colors needed to color all permutations in $S_n$ on $T$.

**Proposition 2.** Let $T$ be a tree on $n$ vertices. Then $L_T(P) \leq \hat{R}_T(P) \leq \left(\frac{5}{3}\right)L_T(P) + 1$.

Let $T$ be a tree on $2n$ vertices. We denote by $\hat{R}_T$ the average number of colors needed to color all involutions in $I_{2n}$ on $T$.

**Proposition 3.** Let $T$ be a tree on $2n$ vertices and let $\bar{L}_T$ be the average load of all involutions in $I_{2n}$ on $T$. Then $\bar{L}_T \leq \hat{R}_T \leq \left(\frac{3}{2}\right)\bar{L}_T$.

3. Complexity of Computing the Coloring Number

We show the NP-hardness of the symmetric-path coloring problem on binary trees, answering an open question in [2]. For this, we use a reduction similar to the one used in [6, 10] for proving the NP-hardness of the general path coloring problem on binary trees. We extend this reduction to obtain NP-hardness results on very restrictive instances like involutions on both binary trees and trees having only two vertices with degrees greater than two.

**Theorem 1.** Let $T$ be a directed symmetric tree and let $P$ be a collection of directed paths on $T$. Then, computing $R_T(P)$ is NP-hard in the following cases:

- $T$ is a binary tree and $P$ is a collection of symmetric paths on $T$.
- $T$ is a binary tree and $P$ represents an involution of the vertices of $T$.
- $T$ is a tree with maximum degree greater or equal to 4, and $P$ represents a circular permutation of the vertices of $T$.
- $T$ is a tree having only two degrees greater than two and $P$ represents an involution of the vertices of $T$.

4. A Lower Bound for the Average Coloring Number

Let $G = (V, A)$ be a directed symmetric graph on $n$ vertices and $r$ a routing function in $G$ which assigns a set of paths on $G$ to route any permutation $\sigma \in S_n$. Let $L_{G,r}$ be the average load of all permutations in $S_n$ induced by the routing function $r$, and let $U \subseteq V$ be a subset of the vertex set of $G$. We denote by $c(U)$ the cut $(U, \bar{U})$, i.e., the set of arcs \{(u, v) \in A \mid u \in U, v \in V \setminus U\}.
Proposition 4. For any graph \( G = (V, A) \) on \( n \) vertices, and any routing function \( r \) in \( G \),
\[
\bar{L}_{G,r} \geq \frac{1}{n} \max_{U \subseteq V} \left( \frac{|U|(n - |U|)}{|c(U)|} \right).
\]

Let \( T \) be a tree on \( n \) vertices. By the previous proposition, we can deduce that the average load of any arc \( i^+ \) of \( T \), \( 1 \leq i \leq n - 1 \), denoted by \( \bar{L}_T(i) \), satisfies \( \bar{L}_T(i) = [T(i)](n - |T(i)|)/n \). Moreover, for any vertex \( i \) of \( T \), let \( v_T(i) = [T(i)]/n \) and \( \bar{v}_T(i) = \min(v_T(i), 1 - v_T(i)) \). Let \( \bar{v}_T = \max_i \bar{v}_T(i) \).

Proposition 5. Both inequalities \( \bar{L}_T \geq n\bar{v}_T(1 - \bar{v}_T) \) and \( \bar{R}_T \geq n\bar{v}_T(1 - \bar{v}_T) \) hold.

5. Average Coloring Number on Linear Networks

The main result is the following:

Theorem 2. The average coloring number of the permutations in \( S_n \) to be routed on a linear network on \( n \) vertices is \( n/4 + (\lambda/2)n^{1/3} + O(n^{1/6}) \) where \( \lambda = 0.99615 \ldots \)

To prove this result, we use enumerative and asymptotic combinatorial techniques (Theorems 3 and 4 below and results of Louchard [12] and Daniels and Skyrme [5]). Our approach uses the same methodology as Lagarias et al. [11] who studied involutions with no fixed point routed on the linear network.

Let \( W_n \) be the set of Motzkin walks of length \( n \) labeled as follows:
- each South-East step of height \( i \) is labeled by an integer between 1 and \( (i + 1)^2 \),
- each East step of height \( i \) is labeled by an integer between 1 and \( 2i + 1 \).

Theorem 3. [9] There is a one-to-one correspondence between the elements \( W_n \) and those of \( S_n \).

We use Biane’s bijection [1] because it preserves the height of our objects, i.e., the height of a labeled Motzkin walks is equal to the height of the corresponding permutation. Moreover, the height of a permutation is equal to its load.

Let \( S_{n,k} \) be the number of permutations in \( S_n \) of height at most \( k \) and let \( S_{n,k} \) be the number of permutations in \( S_n \) of height exactly \( k \).

Theorem 4. [8, 13] We have the identities \( H_{k}(z) = \sum_{n \geq 0} \sum_{\sigma \in S_{n,k}} z^n = \frac{(k!)^2 z^{2k}}{P_{k+1}(z) P_{k}(z)} \) and
\[
H_{\leq k}(z) = \sum_{n \geq 0} \sum_{\sigma \in S_{n,\leq k}} z^n = \frac{1}{1 - z^2 - 4z^2 - \cdots - k^2 z^2 - (2k - 1)z - (1 - (2k + 1)z)}
\]

with \( P_0(z) = 1 \), \( P_1(z) = z - 1 \) and \( P_{n+1}(z) = (z - 2n - 1)P_n(z) - n^2 P_{n-1}(z) \) for \( n \geq 1 \), where \( P^* \) is the reciprocal polynomial of \( P \), that is \( P_n^*(z) = z^n P_n(1/z) \) for \( n \geq 0 \).

6. Average Coloring Number on Arbitrary Tree Networks

We can extend the average complexity results on linear networks to arbitrary tree networks.

Theorem 5. The average load induced by all permutations of \( S_n \) on \( T \) is \( \bar{L}_T = n\bar{v}_T(1 - \bar{v}_T) + O(n^{1/2}) \).
Theorem 6. For all $\epsilon$, there exists $n_0 = n_0(\epsilon)$ such that, for all $n \geq n_0$ and any tree $T$ on $n$ vertices, the average number of colors $\bar{R}_T$ needed to color any permutation $\sigma \in S_n$ on $T$ satisfies $\bar{R}_T \leq (5/3 + \epsilon)n\bar{v}_T(1 - \bar{v}_T)$.

Let $ST(n)$ denote the directed symmetric star graph on $n$ vertices (i.e., the tree having only one internal vertex connected to $n-1$ leaves). We call generalized star graph that we denote by $GST(\lambda)$, a directed symmetric tree on $n$ vertices having $k$ branches connected to each other by one vertex, where $\lambda = (\lambda_1, \ldots, \lambda_k)$ is a partition of the integer $n-1$ into $k$ parts ($k > 2$) and where $\lambda_i$ denotes the length of the $i$th branch (i.e., a branch of length $\lambda_i$ is a path graph on $\lambda_i + 1$ vertices). We can also obtain the same type of results for generalized star trees and involutions instead of permutations.

Theorem 7. Let $k$ be a fixed integer greater than 2. The average number of colors needed to color any permutation $\sigma \in S_{nk+1}$ on a generalized star tree $GST(\lambda)$ having $nk+1$ vertices and $k$ branches of length $n$ is $n(k-1)/k + O(n^{1/2})$.

Theorem 8. Let $T$ be a tree on $2n$ vertices. The average load induced by all involutions with no fixed points $\sigma \in I_{2n}$ on $T$ is $L_T = 2n\bar{v}_T (1 - \bar{v}_T) + O(n^{1/2})$.

Bibliography


