

# Multivariate generalizations of the Foata-Schützenberger equidistribution

Fourth Colloquium in Mathematics and Computer Science

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# Overline

- 1 Motivation
- 2 Combinatorial background
- 3 Cayley trees
- 4 From trees to a permutation statistic
- 5 Descent classes and codes
- 6 Conclusion

## Initial motivation and results

- Better understanding of Pre-Lie algebras,
- Relate P-L with combinatorics, algorithmics.

A different conclusion:

Analysis of *Cayley's trees-formula* for integrating vector field



A pure *combinatorial construction*, namely, a new permutation statistic, coming from trees!



A *multivariate equirepartition theorem* of the number of inversion and the inverse Mac-Mahon index on permutations of a given descent class

# Inversions and Icode

## Definitions

An *inversion* of a word  $w = w_1 w_2 \dots w_n$  is a pair  $(i, j)$  such that

$$i < j \quad \text{and} \quad w_i > w_j. \quad (1)$$

The *inversion number* is denoted by  $Inv(w)$ .

Separate the set of inversions by the value of  $w_j$  (inverse Lehmer code).

|          |   |   |   |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|---|---|---|
| $\sigma$ | 3 | 6 | 8 | 1 | 5 | 2 | 9 | 7 | 4 |
|          | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| Icode    | 3 | 4 | 0 | 5 | 2 | 0 | 2 | 0 | 0 |

# Descents and the major index

## Definitions

A *descent* of a word  $w = w_1 w_2 \dots w_n$  is an integer  $i$  such that

$$w_i > w_{i+1}. \quad (2)$$

A *descent class* is the set of permutations with given descents.  
The *major index*  $\text{Maj}$  of a word is the sum of its descents.

|                  |   |   |   |   |   |   |   |   |   |
|------------------|---|---|---|---|---|---|---|---|---|
| $\sigma$         | 3 | 6 | 8 | 1 | 5 | 2 | 9 | 7 | 4 |
| descent position |   |   | 3 |   | 5 |   | 7 | 8 |   |

$$\text{Maj}(368152974) = 3 + 5 + 7 + 8 = 23.$$

# Inversions vs descents

## Theorem (MacMahon, 1913)

*Over the symmetric group, the generating series of the number of inversions is equal to the g. s. of the major index.*

## Theorem (Foata-Schützenberger, 1970)

*Over any descent class of the symmetric group, the same result holds.*

# A computation problem in integration (Cayley 1857)

## Problem

Knowing the *speed*  $V$  as a function of the distance  $x$ , compute the *distance*  $x$  as a function of the time  $t$ , that is solve

$$x(0) = 0 \quad \text{and} \quad x'(t) = V(x(t)). \quad (3)$$

Formal (algebraic way): compute the Taylor series of  $x(t)$  from the Taylor series of  $V(x)$ .

$$x(t) = 0 + x'(0) t + x^{(2)}(0) \frac{t^2}{2!} + x^{(3)}(0) \frac{t^3}{3!} + \dots \quad (4)$$

## The derivatives of $x(t)$

$$x'(t) = V(x(t)) = (V \circ x)(t)$$

Using the derivative of compose functions

$$x^{(2)} = \left( \frac{dV}{dx} \right)_{x(t)} \cdot x'(t) = \left( \frac{dV}{dx} \right)_{x(t)} \cdot V_{x(t)} =: V_{10}$$

$$x^{(3)} = \left( \frac{d^2V}{dx^2} \right)_{x(t)} \cdot V_{x(t)}^2 + \left( \frac{dV}{dx} \right)_{x(t)}^2 \cdot V_{x(t)} = V_{200} + V_{110}$$

$$x^{(4)} = V_{3000} + 4V_{2100} + V_{1110}$$

$$x^{(5)} = V_{40000} + 7V_{31000} + 4V_{22000} + 11V_{21100} + V_{11110}$$

$$x^{(6)} = V_{500000} + 11V_{410000} + 15V_{320000} + 32V_{311000} + \\ 34V_{221000} + 26V_{211100} + V_{111110}$$

# A combinatorial interpretation

## Observation

$$x^{(n)} = \sum_{\sigma \in \mathfrak{S}_{n-1}} V_{\text{Sort}(\text{Eval}(\text{Code}(\sigma)))} \cdot$$

|          |       |       |       |       |       |       |       |       |       |
|----------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| $\sigma$ | 3     | 6     | 8     | 1     | 5     | 2     | 9     | 7     | 4     |
| Code     | 2     | 5     | 5     | 0     | 1     | 0     | 2     | 1     | 0     |
| Eval     | $0^3$ | $1^2$ | $2^2$ | $3^0$ | $4^0$ | $5^2$ | $6^0$ | $7^0$ | $8^0$ |
| Sort     | 3     | 2     | 2     | 2     | 0     | 0     | 0     | 0     | 0     |

## Combinatorial interpretation (2)

$$x^{(4)} = V_{3000} + 4V_{2100} + V_{1110}$$

| permutation | code | multiplicities | sort |
|-------------|------|----------------|------|
|             |      | 0123           |      |
| 123         | 000  | 3000           | 3000 |
| 132         | 010  | 2100           | 2100 |
| 213         | 100  | 2100           | 2100 |
| 231         | 110  | 1200           | 2100 |
| 312         | 200  | 2010           | 2100 |
| 321         | 210  | 1110           | 1110 |

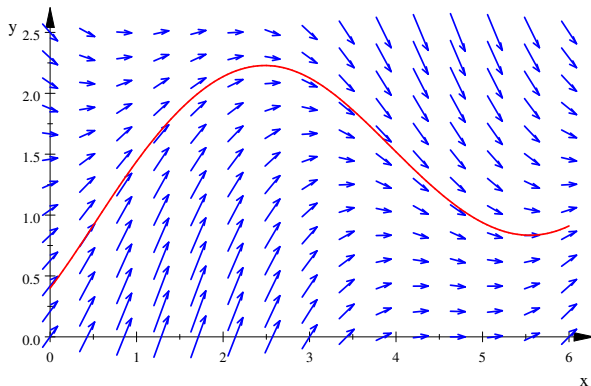
$$x^{(5)} = V_{40000} + 7V_{31000} + 4V_{22000} + 11V_{21100} + V_{11110}$$

| perm. | code | mult. | sort  | perm. | code | mult. | sort  |
|-------|------|-------|-------|-------|------|-------|-------|
| 1234  | 0000 | 40000 | 40000 | 1432  | 0210 | 21100 | 21100 |
| 1243  | 0010 | 31000 | 31000 | 2413  | 1200 | 21100 | 21100 |
| 1324  | 0100 | 31000 | 31000 | 2431  | 1210 | 12100 | 21100 |
| 1423  | 0200 | 30100 | 31000 | 3142  | 2010 | 21100 | 21100 |
| 2134  | 1000 | 31000 | 31000 | 3214  | 2100 | 21100 | 21100 |
| 2341  | 1110 | 13000 | 31000 | 3241  | 2110 | 12100 | 21100 |
| 3124  | 2000 | 30100 | 31000 | 3421  | 2210 | 11200 | 21100 |
| 4123  | 3000 | 30010 | 31000 | 4132  | 3010 | 21010 | 21100 |
| 1342  | 0110 | 22000 | 22000 | 4213  | 3100 | 21010 | 21100 |
| 2143  | 1010 | 22000 | 22000 | 4231  | 3110 | 12010 | 21100 |
| 2314  | 1100 | 22000 | 22000 | 4312  | 3200 | 20110 | 21100 |
| 3412  | 2200 | 20200 | 22000 | 4321  | 3210 | 11110 | 11110 |

## Better understanding ? Add dimensions !

Given a *vector field*  $\vec{V}_x$  for  $x \in \mathbb{R}^d$ , find the *flow* integrating the vector field, *i.e.*, find  $x(t)$  such that

$$x(0) = x_0 \quad \text{and} \quad x'(t) = \vec{V}_{x(t)} \quad (5)$$



# The differential of a vector field

## Definition

Let  $\vec{V}$  and  $\vec{U}_1, \dots, \vec{U}_k$  be some vector fields. Then the  *$k$ -th differential*  $D^k \vec{V}$  of  $\vec{V}$  is defined by

$$[D^k \vec{V}(\vec{U}_1, \dots, \vec{U}_k)]_i := \sum_{j_1 \dots j_k=1}^d \frac{\partial^k [\vec{V}]_i}{\partial x_{j_1} \dots \partial x_{j_k}} [\vec{U}_1]_{j_1} \dots [\vec{U}_k]_{j_k}, \quad (6)$$

where  $[\vec{W}]_i$  denotes the  $i$ -th coordinate of the vector field  $\vec{W}$ .

- This definition is independent of the coordinate system.
- The point  $x$  where the vector fields are taken is implicit.

## The derivatives of $x(t)$

$$x'(t) = \vec{V}_{x(t)} = (\vec{V} \circ x)(t)$$

Using the derivative of compose functions

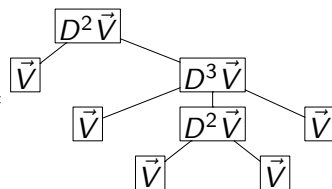
$$x^{(2)} = D\vec{V}_x(x') = D\vec{V}_x(\vec{V}_x)$$

Third and fourth derivative with implicit  $x(t)$ :

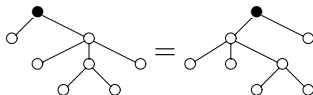
$$x^{(3)} = D^2\vec{V}(\vec{V}, \vec{V}) + D\vec{V}(D\vec{V}(\vec{V}))$$

$$x^{(4)} = D^3\vec{V}(\vec{V}, \vec{V}, \vec{V}) + 3D^2\vec{V}(\vec{V}, D\vec{V}(\vec{V})) + \\ D\vec{V}(D^2\vec{V}(\vec{V}, \vec{V})) + D\vec{V}(D\vec{V}(D\vec{V}(\vec{V})))$$

## A better notation: expression trees (Cayley)

$$D^2 \vec{V}(\vec{V}, D^3 \vec{V}(\vec{V}, D^2 \vec{V}(\vec{V}, \vec{V}), \vec{V}), \vec{V}) =$$


Clairaut's theorem  $\frac{\partial^2 f}{\partial x \partial y} = \frac{\partial^2 f}{\partial y \partial x}$ : rooted topological (Cayley) trees

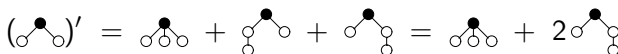


# Compose derivative formula

## Proposition

$$(D_T V)' = \sum_{T'} D_{T'} V \quad (7)$$

where  $T'$  runs over set of trees obtained by *adding a leaf* to each node of  $T$ .



$$(D_T V)' = \text{tree}_1 + \text{tree}_2 + \text{tree}_3 = \text{tree}_1 + 2 \text{tree}_2$$

# The derivatives of $x(t)$ (continued)

$$x' = \bullet$$

$$x^{(2)} = \begin{array}{c} \bullet \\ | \\ \circ \end{array}$$

$$x^{(3)} = \begin{array}{c} \bullet \\ | \\ \circ \\ | \\ \circ \end{array} + \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \end{array}$$

$$x^{(4)} = \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \end{array} + 3 \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \\ \circ \end{array} + \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \end{array} + \begin{array}{c} \bullet \\ | \\ \circ \\ | \\ \circ \\ | \\ \circ \end{array}$$

$$x^{(5)} = \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \end{array} + 6 \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \\ \circ \end{array} + 4 \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \end{array} + 4 \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \\ \circ \end{array} + 3 \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \end{array} +$$

$$\begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \\ \circ \end{array} + 3 \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \end{array} + \begin{array}{c} \bullet \\ / \backslash \\ \circ \quad \circ \\ | \quad | \\ \circ \quad \circ \end{array} + \begin{array}{c} \bullet \\ | \\ \circ \\ | \\ \circ \\ | \\ \circ \end{array}$$

...

# Closed formula

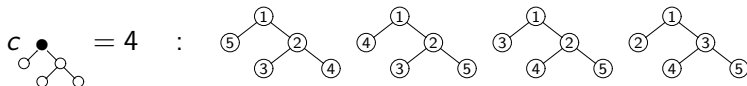
## Theorem

The  $n$ -th derivative of  $x(t)$  is given by

$$x^{(n)} = \sum_{T: \text{tree of size } n} c_T T \quad (8)$$

where  $c_T$  is the number of standard increasing labellings of  $T$ .

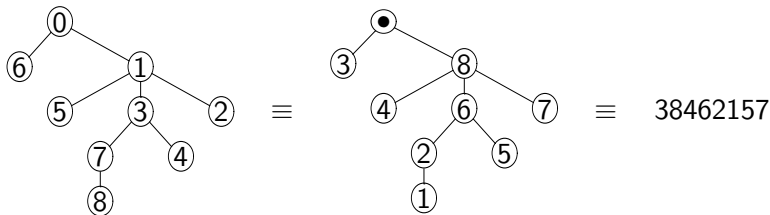
Example:



# From trees to a permutation statistic

## Bijections

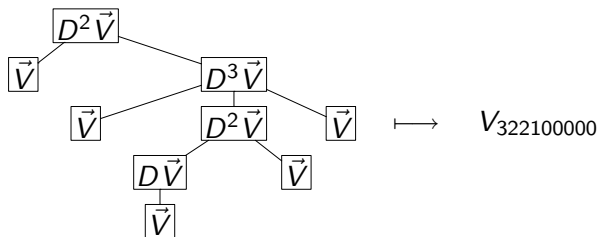
code  $\Leftrightarrow$  increasing trees  $\Leftrightarrow$  permutations



$$\text{Score} = \begin{array}{cccccccc} 8 & 7 & 6 & 5 & 4 & 3 & 2 & 1 \\ 7 & 3 & 0 & 1 & 3 & 1 & 1 & 0 \end{array}$$

## Back to dimension $d = 1$

The  $n$ -th differential becomes multiplication by the  $n$ -th derivative; therefore one has to record the *arity* of the nodes:



$$\text{Eval}(73013110) = 0^2 1^3 2^0 3^2 4^0 5^0 6^0 7^1 8^0$$

## Back to codes

The Icode and the Scode share the property that

$$x^{(n)} = \sum_{\sigma \in \mathfrak{S}_{n-1}} V_{\text{Sort}(\text{Eval}(I \text{ or } S(\sigma)))} \cdot$$

Obvious since  $\{I(\sigma)\} = \{S(\sigma)\}$ .

Proof "natural" from the  $S$  point of view.

What about a finer result?  $\longrightarrow$  Descent classes.

What about the major index?  $\longrightarrow$  The majcode.

## The majcode

Same operation as in the lcode case:

If  $w^{(i)}$  is obtained from  $w$  by erasing  $w_k < i$ , cut the major index into parts as the sequence  $\text{Maj}(w^{(i)}) - \text{Maj}(w^{(i+1)})$ .

| $\sigma$       |             | Maj | <i>majcode</i> |
|----------------|-------------|-----|----------------|
| $\sigma^{(1)}$ | 3 6●1 5●4●2 | 11  | 2              |
| $\sigma^{(2)}$ | 3 6● 5●4●2  | 9   | 4              |
| $\sigma^{(3)}$ | 3 6● 5●4    | 5   | 2              |
| $\sigma^{(4)}$ | 6● 5●4      | 3   | 2              |
| $\sigma^{(5)}$ | 6● 5        | 1   | 1              |
| $\sigma^{(6)}$ | 6           | 0   | 0              |
| <i>majcode</i> | 2 4 2 2 1 0 |     |                |

# Main result: inversions vs descents

## Theorem

*Over any descent class of the symmetric group, the inverse icode, the inverse majcode and the inverse Scode have same distribution, up to order.*

Descent class:  $\{2, 4\}$  of  $\mathfrak{S}_5$ .

| perm. | Icode | Imajcode | IScode | perm. | Icode | Imajcode | IScode |
|-------|-------|----------|--------|-------|-------|----------|--------|
| 13254 | 01010 | 22110    | 03010  | 25143 | 13010 | 33110    | 32010  |
| 14253 | 02010 | 10110    | 01010  | 25341 | 13110 | 13010    | 12110  |
| 14352 | 02110 | 22010    | 03110  | 34152 | 22010 | 00110    | 11010  |
| 15243 | 03010 | 23110    | 02010  | 34251 | 22110 | 02010    | 33110  |
| 15342 | 03110 | 23010    | 02110  | 35142 | 23010 | 13110    | 12010  |
| 23154 | 11010 | 12110    | 33010  | 35241 | 23110 | 33010    | 32110  |
| 24153 | 12010 | 20110    | 31010  | 45132 | 33010 | 03110    | 22010  |
| 24351 | 12110 | 12010    | 13110  | 45231 | 33110 | 03010    | 22110  |

## Conclusion and open questions

- Combine statistics with the number of descents:  
Euler-Mahonian.
- Many new statistics obtained by an equivalent process.
- Bijective proof?
- Back to Pre-Lie algebras?

Thank you!

## Some algebraic structure

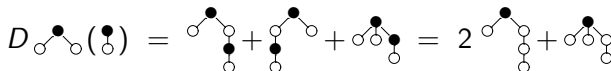
The classical Lie bracket on vector field

$$[U, V] = DU(V) - DV(U) \quad (9)$$

can be encoded on trees where

$$DT_1(T_2) = \sum_{n:\text{node of } T_1} \text{grafting of the root of } T_2 \text{ on } n \quad (10)$$

For example:



$$D \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \circ \quad \circ \end{array} \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \circ \quad \circ \end{array} = \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \circ \quad \bullet \\ \quad \quad \diagup \quad \diagdown \\ \quad \quad \circ \quad \circ \end{array} + \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \bullet \quad \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \end{array} + \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \bullet \quad \bullet \\ \quad \quad \diagup \quad \diagdown \\ \quad \quad \circ \quad \circ \end{array} = 2 \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \circ \quad \bullet \\ \quad \quad \diagup \quad \diagdown \\ \quad \quad \circ \quad \circ \end{array} + \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \bullet \quad \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \end{array}$$