

Monoids of Natural Numbers

STEVEN FINCH

March 17, 2009

Let \mathbb{N} denote the set of nonnegative integers. If $A = \{a_1, a_2, \dots, a_m\}$ is a set of positive integers satisfying $\gcd(a_1, a_2, \dots, a_m) = 1$, then

$$\langle a_1, a_2, \dots, a_m \rangle = \left\{ \sum_{j=1}^m x_j a_j : x_j \in \mathbb{N} \text{ for each } 1 \leq j \leq m \right\}$$

is the subset of \mathbb{N} **generated by** A . For example,

$$\langle a, a+1, a+2, a+3, \dots, 2a-1 \rangle = \{0\} \cup \{a, a+1, a+2, a+3, \dots\}$$

and

$$\langle 2, b \rangle = \{0, 2, 4, \dots, b-3\} \cup \{b-1, b, b+1, b+2, b+3, \dots\}$$

when $b \geq 3$ is odd.

A **numerical monoid** S is a subset of \mathbb{N} that is closed under addition, contains 0, and has finite complement in \mathbb{N} . (Most authors use the phrase “numerical semigroup”, but semigroups by definition need not contain 0, hence the usage is puzzling.) The **Frobenius number** f of S is the maximum element in the set $\mathbb{N} - S$, and the **genus** g of S is the cardinality of $\mathbb{N} - S$. Therefore

$$f(\langle a, a+1, a+2, a+3, \dots, 2a-1 \rangle) = a-1, \quad f(\langle 2, b \rangle) = b-2,$$

$$g(\langle a, a+1, a+2, a+3, \dots, 2a-1 \rangle) = a-1, \quad g(\langle 2, b \rangle) = (b-1)/2$$

and, more generally [1],

$$f(\langle a, b \rangle) = (a-1)(b-1) - 1, \quad g(\langle a, b \rangle) = (a-1)(b-1)/2$$

when $\gcd(a, b) = 1$. It is known that $f+1 \leq 2g$ always [2, 3]. Table 1 gives all monoids S with $1 \leq f \leq 4$ or $1 \leq g \leq 4$.

⁰Copyright © 2009 by Steven R. Finch. All rights reserved.

Table 1. Numerical Monoids with Small Frobenius Number or Genus

$f = 1$	$f = 2$	$f = 3$	$f = 4$	$g = 1$	$g = 2$	$g = 3$	$g = 4$
$\langle 2, 3 \rangle$	$\langle 3, 4, 5 \rangle$	$\langle 4, 5, 6, 7 \rangle$	$\langle 5, 6, 7, 8, 9 \rangle$	$\langle 2, 3 \rangle$	$\langle 3, 4, 5 \rangle$	$\langle 4, 5, 6, 7 \rangle$	$\langle 5, 6, 7, 8, 9 \rangle$
		$\langle 2, 5 \rangle$	$\langle 3, 5, 7 \rangle$		$\langle 2, 5 \rangle$	$\langle 3, 5, 7 \rangle$	$\langle 4, 6, 7, 9 \rangle$
						$\langle 3, 4 \rangle$	$\langle 3, 7, 8 \rangle$
						$\langle 2, 7 \rangle$	$\langle 4, 5, 7 \rangle$
							$\langle 4, 5, 6 \rangle$
							$\langle 3, 5 \rangle$
							$\langle 2, 9 \rangle$

Define sequences $[4, 5, 6, 7]$

$$\{F_n\}_{n=1}^\infty = \{1, 1, 2, 2, 5, 4, 11, 10, \dots\},$$

$$\{G_n\}_{n=1}^\infty = \{1, 2, 4, 7, 12, 23, 39, 67, \dots\}$$

by

$$F_n = (\text{the number of monoids } S \text{ with } f(S) = n),$$

$$G_n = (\text{the number of monoids } S \text{ with } g(S) = n)$$

then Backelin [8] showed that

$$0 < \liminf_{n \rightarrow \infty} 2^{-n/2} F_n < \limsup_{n \rightarrow \infty} 2^{-n/2} F_n < \infty,$$

$$\frac{1}{2}(2.47) < \lim_{\substack{n \rightarrow \infty \\ n \equiv 0 \pmod{2}}} 2^{-n/2} F_n < \frac{1}{2}(3.3), \quad \frac{1}{\sqrt{2}}(2.5) < \lim_{\substack{n \rightarrow \infty \\ n \equiv 1 \pmod{2}}} 2^{-n/2} F_n < \frac{1}{\sqrt{2}}(3.32)$$

and Bras-Amorós [5, 9, 10] conjectured that

$$\lim_{n \rightarrow \infty} \frac{G_{n+1}}{G_n} = \varphi$$

where $\varphi = (1 + \sqrt{5})/2 = 1.6180339887\dots$ is the Golden mean. Tighter bounds are needed for F_n asymptotics; it has not even been proved that G_n is increasing.

A monoid is **irreducible** if it cannot be written as the intersection of two monoids properly containing it [11]. A monoid S is irreducible if and only if S is maximal (with respect to set inclusion) in the collection of all monoids with Frobenius number $f(S)$. Irreducible monoids with odd f are the same as **symmetric** monoids (for which $f = 2g - 1$ always); irreducible monoids with even f are the same as **pseudo-symmetric** monoids (for which $f = 2(g - 1)$ always). As an example, $\langle 3, 4 \rangle$ and $\langle 2, 7 \rangle$ are the two symmetric monoids with Frobenius number 5; $\langle 4, 5, 7 \rangle$ is the unique

pseudo-symmetric monoid with Frobenius number 6. Another characterization of symmetry and pseudo-symmetry will be given shortly. Define [4, 12]

$$\{H_n\}_{n=1}^\infty = \{1, 1, 1, 1, 2, 1, 3, 2, 3, 3, 6, 2, 8, \dots\}$$

by

$$H_n = (\text{the number of irreducible monoids } S \text{ with } f(S) = n)$$

then Backelin [8] showed that

$$0 < \liminf_{n \rightarrow \infty} 2^{-n/6} H_n < \limsup_{n \rightarrow \infty} 2^{-n/6} H_n < \infty,$$

$$\frac{1}{2}(9.36) < \lim_{\substack{n \rightarrow \infty \\ n \equiv 0 \pmod{6}}} 2^{-n/6} H_n = \frac{1}{\sqrt{2}} \lim_{\substack{n \rightarrow \infty \\ n \equiv 3 \pmod{6}}} 2^{-n/6} H_n < c.$$

No finite value c (as an upper bound for H_n asymptotics) has been rigorously proved.

0.1. Sets without Closure. A **numerical set** S is a subset of \mathbb{N} that contains 0 and has finite complement in \mathbb{N} . The **Frobenius number** of S is, as before, the maximum element in the set $\mathbb{N} - S$. Nothing has been assumed about additivity so far. Every numerical set S has an associated **atom monoid** $A(S)$ defined by

$$A(S) = \{n \in \mathbb{Z} : n + S \subseteq S\}.$$

Clearly $A(S) \subseteq S$; also $A(S) = S$ if and only if S is itself a numerical monoid. The Frobenius number of $A(S)$ is the same as the Frobenius number of S ; thus there is no possible ambiguity when speaking about $f(S)$. Let

$$\mathbb{N}_n = \langle n + 1, n + 2, n + 3, \dots, 2n + 1 \rangle = \{0\} \cup \{n + 1, n + 2, n + 3, \dots\}$$

which we already know has Frobenius number n . Given n , which sets S have $A(S) = \mathbb{N}_n$? Table 2 answers the question for $1 \leq n \leq 5$. For brevity, we give only T , where $S = T \cup \mathbb{N}_n$ is a disjoint union.

Table 2. Numerical Sets $T \cup \mathbb{N}_n$ with Atom Monoid \mathbb{N}_n

$n = 1$	$n = 2$	$n = 3$	$n = 4$	$n = 5$
\emptyset^*	\emptyset	\emptyset	\emptyset	\emptyset
	$\{1\}$	$\{1\}^*$	$\{1\}$	$\{1\}$
		$\{1, 2\}$	$\{2\}$	$\{2\}$
			$\{1, 2\}$	$\{1, 2\}^*$
			$\{1, 3\}$	$\{1, 3\}^*$
			$\{1, 2, 3\}$	$\{1, 4\}$
				$\{2, 3\}$
				$\{1, 2, 3\}$
				$\{1, 2, 4\}$
				$\{1, 2, 3, 4\}$

Define [13]

$$\{P_n\}_{n=1}^\infty = \{1, 2, 3, 6, 10, 20, 37, 74, \dots\}$$

by

$$P_n = (\text{the number of sets } S \text{ with } A(S) = \mathbb{N}_n)$$

then Marzuola & Miller [14] showed that

$$\lim_{n \rightarrow \infty} \frac{P_n}{2^{n-1}} \approx 0.484451 \pm 0.005.$$

Also, a numerical set S with Frobenius number n satisfying

$$x \in S \text{ if and only if } n - x \notin S$$

is **symmetric** if n is odd and **pseudo-symmetric** if n is even and $n/2 \notin S$ (we agree to exclude $x = n/2$ from consideration). The symmetric cases in Table 2 are marked by *. Define [13]

$$\{Q_k\}_{k=1}^\infty = \{1, 1, 2, 3, 6, 10, 20, 37, 73, \dots\}$$

by

$$Q_k = (\text{the number of symmetric sets } S \text{ with } A(S) = \mathbb{N}_{2k-1})$$

then [14]

$$\lim_{k \rightarrow \infty} \frac{Q_k}{2^{k-1}} \approx 0.230653 \pm 0.006.$$

It is interesting the Q_{k+2} is the number of additive 2-bases for $\{0, 1, 2, \dots, k\}$, meaning sets Σ that satisfy

$$\Sigma \subseteq \{0, 1, 2, \dots, k\} \subseteq \Sigma + \Sigma.$$

The asymptotics for the corresponding “anti-atom” problem for pseudo-symmetric sets are identical to the preceding.

REFERENCES

- [1] R. Fröberg, C. Gottlieb and R. Häggkvist, On numerical semigroups, *Semigroup Forum* 35 (1987) 63–83; MR0880351 (88d:20092).
- [2] M. Bras-Amorós and A. de Mier, Representation of numerical semigroups by Dyck paths, *Semigroup Forum* 75 (2007) 677–682; arXiv:math/0612634; MR2353289 (2008g:20132).
- [3] M. Bras-Amorós and S. Bulygin, Towards a better understanding of the semi-group tree, arXiv:0810.1619.

- [4] J. C. Rosales, P. A. García-Sánchez, J. I. García-García and J. A. Jiménez Madrid, Fundamental gaps in numerical semigroups, *J. Pure Appl. Algebra* 189 (2004), 301–313; MR2038577 (2004j:20116).
- [5] M. Bras-Amorós, Fibonacci-like behavior of the number of numerical semi-groups of a given genus, *Semigroup Forum* 76 (2008) 379–384; MR2377597 (2009c:20110).
- [6] N. Medeiros, Listing of Numerical Semigroups up to Genus 12, <http://w3.impa.br/~nivaldo/algebra/semigroups/>.
- [7] N. J. A. Sloane, On-Line Encyclopedia of Integer Sequences, A007323 and A124506.
- [8] J. Backelin, On the number of semigroups of natural numbers, *Math. Scand.* 66 (1990) 197–215; MR1075137 (91k:11084).
- [9] M. Bras-Amorós, Bounds on the number of numerical semigroups of a given genus, arXiv:0802.2175.
- [10] V. Blanco and J. Puerto, Computing the number of numerical semigroups using generating functions, arXiv:0901.1228.
- [11] J. C. Rosales and M. B. Branco, Irreducible numerical semigroups, *Pacific J. Math.* 209 (2003) 131–143; MR1973937 (2004b:20091).
- [12] N. J. A. Sloane, On-Line Encyclopedia of Integer Sequences, A158206, A158278, and A158279.
- [13] N. J. A. Sloane, On-Line Encyclopedia of Integer Sequences, A066062, A008929, and A158291.
- [14] J. Marzuola and A. Miller, Counting numerical sets with no small atoms, arXiv:0805.3493.